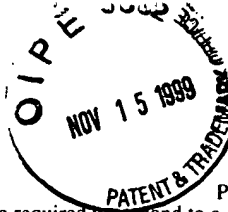


Please type a plus sign inside this box -->



PTO/SB/21 (12/97)

Approved for use through 09/30/00 OMB 0651-0031

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM (To be used for all correspondence after initial filing)		Application Number	09/409,163
		Filing Date	09/30/1999
		First Named Inventor	GAO, ET AL.
		Group Art Unit	2743
		Examiner Name	NOT ASSIGNED
TOTAL NUMBER OF PAGES IN THIS SUBMISSION	9	Attorney Docket Number	037422.P006

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Response <input type="checkbox"/> After Final <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input checked="" type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts Under 37 CFR 1.52 or 1.53	<input checked="" type="checkbox"/> Assignment Papers <input type="checkbox"/> Drawings <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) & Accompanying Petition <input type="checkbox"/> To Convert a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation, Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input checked="" type="checkbox"/> Small Entity Statement <input type="checkbox"/> Request for Refund	<input type="checkbox"/> After Allowance Communication Group <input type="checkbox"/> Appeal Communication to Board of Appeals & Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below) Postcard Copy of form PTO-1533
Remarks In the event that an extension of time is required to prevent this application from being abandoned, such extension is hereby requested and authorization is hereby given to charge the appropriate fee to Deposit Account No. 02-2666.		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual Name	BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN, LLP George W Hoover, Reg. No 32,992
Signature	
Date	November 9, 1999

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date:			November 9, 1999
Typed or Printed Name	D.L. Mendrygal		
Signature		Date	November 9, 1999

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

THE UNITED STATES PATENT AND TRADEMARK OFFICE

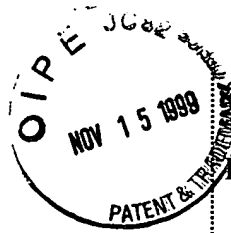
In Re Application of:

GAO, ET AL.

Serial No.: 09/409,163

Filed: 09/30/1999

For: **BAND-LIMITED ADAPTIVE
FEEDBACK CANCELLER FOR
HEARING AIDS**



Art Unit: 2743

Examiner: not assigned

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Attn: Box Missing Parts
Assistant Commissioner
for Patents
Washington, D. C. 20231

Dear Sir:

In response to the communication from the Patent Office mailed August 10, 1999 in connection with the above-referenced application, enclosed herewith are the following:

1. a return copy of Form PTO-1533;
2. a Declaration and Power of Attorney executed by the inventors;
3. a Verified Statement Claiming Small Entity Status – Small Business Concern executed by the Vice President of House Ear Institute;
4. an Assignment to House Ear Institute and Recordation Form Cover Sheet;
5. a check in the amount of \$808.00, which includes the small entity surcharge for filing the Declaration on a date later than the filing date of the application and the basic filing fee; and

6. a check in the amount of \$40.00 for recordation of the Assignment.

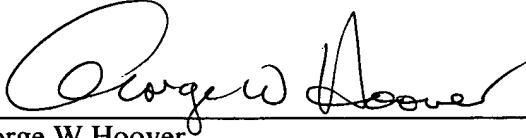
Please charge any additional fees or credit any overpayments to our deposit account no.
02-2666.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: November 9, 1999

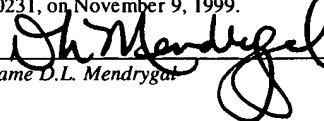
By: _____


George W Hoover
Reg. No. 32,992

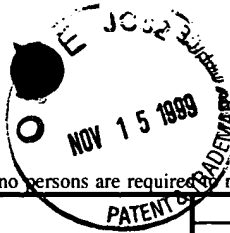
12400 Wilshire Boulevard
Seventh Floor
Los Angeles, California 90025
(310) 207-3800

CERTIFICATE OF MAILING:

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Attn: Box Missing Parts - Fee, Assistant Commissioner for Patents, Washington, D.C. 20231, on November 9, 1999.


Name D.L. Mendryga

November 9, 1999
Date



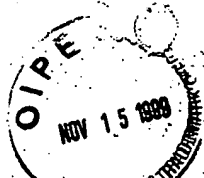
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL		Complete If Known	
Note: Effective October 1, 1997 Patent fees are subject to annual revision		Application Number	09/409,163
		Filing Date	09/30/1999
		First Named Inventor	GAO, ET AL.
		Group Art Unit	2743
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TOTAL AMOUNT OF PAYMENT	(\$) 848.00	Attorney Docket Number	037422.P006

METHOD OF PAYMENT (check one)		FEE CALCULATION (continued)																																																																																																																																																																																									
<p>1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees & credit any overpayments to:</p> <p>Acct # <u>02-2666</u></p> <p>Acct Name <u>Blakely Sokoloff Taylor & Zafman LLP</u></p> <p><input checked="" type="checkbox"/> Charge any add'l fee required under 37 CFR 1.16 & 1.17 <input type="checkbox"/> Charge issue fee set in 37 CFR 1.18 at the mailing of the Notice of Allowance</p> <p>2. <input checked="" type="checkbox"/> Payment Enclosed:</p> <p><input checked="" type="checkbox"/> Check <input type="checkbox"/> Money Order <input type="checkbox"/> Other</p>		<p>3. Additional Fees</p> <table border="1"><thead><tr><th colspan="2">Large Entity</th><th colspan="2">Small Entity</th><th rowspan="2">Fee Description</th><th rowspan="2">Fee Paid</th></tr><tr><th>Code</th><th>Fee (\$)</th><th>Code</th><th>Fee (\$)</th></tr></thead><tbody><tr><td>105</td><td>130</td><td>205</td><td>65</td><td>Surcharge-late filing fee or oath</td><td>65</td></tr><tr><td>127</td><td>50</td><td>227</td><td>25</td><td>Surcharge-late provisional filing fee or cover sheet</td><td></td></tr><tr><td>139</td><td>130</td><td>139</td><td>130</td><td>Non-English specification</td><td></td></tr><tr><td>147</td><td>2520</td><td>147</td><td>2520</td><td>For filing a request for reexamination</td><td></td></tr><tr><td>112</td><td>920*</td><td>112</td><td>920*</td><td>Requesting publication of SIR prior to Examiner action</td><td></td></tr><tr><td>113</td><td>1840*</td><td>113</td><td>1840*</td><td>Requesting publication of SIR after Examiner action</td><td></td></tr><tr><td>115</td><td>110</td><td>215</td><td>55</td><td>Extension for reply within 1st month</td><td></td></tr><tr><td>116</td><td>380</td><td>216</td><td>190</td><td>Extension for reply within 2nd month</td><td></td></tr><tr><td>117</td><td>870</td><td>217</td><td>435</td><td>Extension for reply within 3rd month</td><td></td></tr><tr><td>118</td><td>1360</td><td>218</td><td>680</td><td>Extension for reply within 4th month</td><td></td></tr><tr><td>128</td><td>1850</td><td>228</td><td>925</td><td>Extension for reply within 5th month</td><td></td></tr><tr><td>119</td><td>300</td><td>219</td><td>150</td><td>Notice of Appeal</td><td></td></tr><tr><td>120</td><td>300</td><td>220</td><td>150</td><td>Filing a brief in support of appeal</td><td></td></tr><tr><td>121</td><td>260</td><td>221</td><td>130</td><td>Request for oral hearing</td><td></td></tr><tr><td>138</td><td>1510</td><td>138</td><td>1510</td><td>Petition to institute a public use proceeding</td><td></td></tr><tr><td>140</td><td>110</td><td>240</td><td>55</td><td>Petition to Revive-unavoidable</td><td></td></tr><tr><td>141</td><td>1210</td><td>241</td><td>605</td><td>Petition to Revive-unintentional</td><td></td></tr><tr><td>142</td><td>1210</td><td>242</td><td>605</td><td>Utility issue fee (or reissue)</td><td></td></tr><tr><td>143</td><td>430</td><td>243</td><td>215</td><td>Design issue fee</td><td></td></tr><tr><td>144</td><td>580</td><td>244</td><td>290</td><td>Plant issue fee</td><td></td></tr><tr><td>122</td><td>130</td><td>122</td><td>130</td><td>Petitions to the Commissioner</td><td></td></tr><tr><td>123</td><td>50</td><td>123</td><td>50</td><td>Petitions related to provisional applications</td><td></td></tr><tr><td>126</td><td>240</td><td>126</td><td>240</td><td>Submission of IDS</td><td></td></tr><tr><td>581</td><td>40</td><td>581</td><td>40</td><td>Recording each patent assignment per property</td><td>40</td></tr><tr><td>146</td><td>760</td><td>246</td><td>380</td><td>Filing a submission after final rejection</td><td></td></tr><tr><td>149</td><td>760</td><td>249</td><td>380</td><td>For each add'l invention to be examined</td><td></td></tr><tr><td colspan="4">Other fee (specify) _____</td><td></td><td></td></tr><tr><td colspan="4">Other fee (specify) _____</td><td></td><td></td></tr><tr><td colspan="4">*Reduced by Basic Filing Fee Paid</td><td>Subtotal (3)</td><td>(\$)105.00</td></tr></tbody></table>		Large Entity		Small Entity		Fee Description	Fee Paid	Code	Fee (\$)	Code	Fee (\$)	105	130	205	65	Surcharge-late filing fee or oath	65	127	50	227	25	Surcharge-late provisional filing fee or cover sheet		139	130	139	130	Non-English specification		147	2520	147	2520	For filing a request for reexamination		112	920*	112	920*	Requesting publication of SIR prior to Examiner action		113	1840*	113	1840*	Requesting publication of SIR after Examiner action		115	110	215	55	Extension for reply within 1st month		116	380	216	190	Extension for reply within 2nd month		117	870	217	435	Extension for reply within 3rd month		118	1360	218	680	Extension for reply within 4th month		128	1850	228	925	Extension for reply within 5th month		119	300	219	150	Notice of Appeal		120	300	220	150	Filing a brief in support of appeal		121	260	221	130	Request for oral hearing		138	1510	138	1510	Petition to institute a public use proceeding		140	110	240	55	Petition to Revive-unavoidable		141	1210	241	605	Petition to Revive-unintentional		142	1210	242	605	Utility issue fee (or reissue)		143	430	243	215	Design issue fee		144	580	244	290	Plant issue fee		122	130	122	130	Petitions to the Commissioner		123	50	123	50	Petitions related to provisional applications		126	240	126	240	Submission of IDS		581	40	581	40	Recording each patent assignment per property	40	146	760	246	380	Filing a submission after final rejection		149	760	249	380	For each add'l invention to be examined		Other fee (specify) _____						Other fee (specify) _____						*Reduced by Basic Filing Fee Paid				Subtotal (3)	(\$) 105.00
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<p>Express Mail Receipt No.:</p> <p>SUBMITTED BY: <u>BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP</u></p> <p>Name <u>GEORGE W HOOVER</u></p> <p>Signature <u>George W Hoover</u> Date <u>November 9, 1999</u></p>		<p>COMPLETE (if applicable)</p> <p>Reg. Number <u>32,992</u></p> <p>Deposit Acct User ID _____</p>																																																																																																																																																																																									

*Highest number of claims previously paid for if an amendment is being transmitted.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	PATENTING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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09/409,163 09/30/99 GAO S 037422.P006

0242/1022

GEORGE W HOOVER
BLAKELY SOKOLOFF TAYLOR AND ZAFMAN LLP
7TH FLOOR
12400 WILSHIRE BOULEVARD
LOS ANGELES CA 90025-1026

NOT ASSIGNED

2743

DATE MAILED:

10/22/99

NOTICE TO FILE MISSING PARTS OF APPLICATION
Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the **SURCHARGE** set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☐ small entity (statement filed) ☒ non-small entity is \$ 1600.00.

- ☒ 1. The statutory basic filing fee is:
☒ missing.
☐ insufficient.

Applicant must submit \$ 1600.00 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

- ☒ 2. The following additional claims fees are due:

\$ 648.00 for 310 total claims over 20.

\$ 78.00 for 1 independent claims over 3.

\$ _____ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

- ☒ 3. The oath or declaration:

- ☒ is missing or unsigned.
☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

- ☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42; 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

- ☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

- ☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

- ☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

- ☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

- ☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice MUST be returned with the reply.

Elisha Evans

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